



PATENT

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANTS: Yusuke Ishii and Jingxi Zhang  
APPLICATION NO.: 10/010,761  
FILING DATE: November 30, 2001  
TITLE: ORGANIZATION, SELECTION, AND APPLICATION OF VIDEO EFFECTS  
ACCORDING TO ZONES  
EXAMINER: Not yet known  
GROUP ART UNIT: 2181  
ATTY. DKT. NO.: 18310-06404

COPY OF PAPERS  
ORIGINALLY FILED

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, DC 20231, on the date shown below:

Dated: March 6, 2002

By: 

Amir H. Raubvogel, Reg. No. 37,070

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION**

SIR:

Responsive to the Notice to File Missing Parts dated January 14, 2002, received in the  
above-identified patent application,

☒ Enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration;
- ☒ an original, signed Power of Attorney;
- ☒ an Application Data Sheet;
- ☒ payment in the amount of \$1,530.00 for the

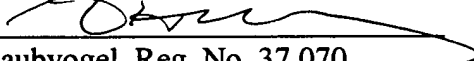
- ☒ application filing fee;
- ☒ fee for additional claims; and
- ☒ missing parts surcharge.

☐ Other

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,  
Yusuke Ishii and Jingxi Zhang

Dated: March 6, 2002

By:   
Amir H. Raubvogel, Reg. No. 37,070  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (650) 858-7636  
Fax: (650) 494-1417



PTO/SB/21 (modified)  
Approved for use through xx/xx/xx, OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

|  |                       |                   |
|--|-----------------------|-------------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence during pendency of filed application)</i> | Application Number    | 10/010,761        |
|  | Filing Date           | November 30, 2001 |
|  | First Named Inventor  | Yusuke Ishii      |
|  | Group Art Unit Number | 2181              |
|  | Examiner Name         | Not yet known     |
| Total Number of Pages in This Submission   |                       | 10                |
| Attorney Docket Number   |                       | 18310-06404       |

**ENCLOSURES (check all that apply)**

|   |   |
|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)<br><input checked="" type="checkbox"/> Check Enclosed (\$1,530.00)<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Response to Notice to File Missing Parts<br><input type="checkbox"/> Assignment & Recordation Cover Sheet<br><input checked="" type="checkbox"/> Declaration<br><input checked="" type="checkbox"/> Power of Attorney<br><input checked="" type="checkbox"/> Application Data Sheet<br><input type="checkbox"/> Information Disclosure Statement & PTO-1449<br><input type="checkbox"/> Copies of IDS Cited References<br><input type="checkbox"/> Request for Corrected Filing Receipt<br><input type="checkbox"/> Request for Correction of Recorded Assignment<br><input type="checkbox"/> Amendment/Response: [ ] Page(s)<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Status Request<br><input type="checkbox"/> Revocation and Substitute Power of Attorney | <input type="checkbox"/> Issue Fee Transmittal<br><input type="checkbox"/> Letter to Chief Draftsperson<br><input type="checkbox"/> Formal Drawing(s):<br>[ ] Sheet(s) of Figure(s) [ ]<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> After Allowance Communication to Group<br><input checked="" type="checkbox"/> Copy of Notice to File Missing Parts<br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> |
|---|---|

REMARKS:

**SIGNATURE OF ATTORNEY OR AGENT**

|                    |                                    |        |               |
|--------------------|------------------------------------|--------|---------------|
| Signature:         |                                    |        |               |
| Attorney/Reg. No.: | Amir H. Raubvogel, Reg. No. 37,070 | Dated: | March 6, 2002 |

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

|   |                   |        |               |
|---|-------------------|--------|---------------|
| Signature:                              |                   |        |               |
| Typed or Printed Name:                  | Amir H. Raubvogel | Dated: | March 6, 2002 |
| Express Mail Mailing Number (optional): |                   |        |               |

18310/06404/DOCS/1250812.1

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0002/PTO(modifi d) U.S. Department of Commerce  
Rev. 10/2001 Patent and Trademark Office

**FEE TRANSMITTAL**

**TOTAL AMOUNT OF PAYMENT**

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 1,530.00)**

| Compl te if Kn wn      |                   |
|------------------------|-------------------|
| Application Number     | 10/010,761        |
| Filing Date            | November 30, 2001 |
| First Named Inventor   | Yusuke Ishii      |
| Group Art Unit         | 2181              |
| Examiner Name          | Not yet known     |
| Attorney Docket Number | 18310-06404       |

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**METHOD OF PAYMENT**

1. The Commissioner is hereby authorized to:

☐ Charge the indicated fees to the below mentioned deposit account.

☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.†

☐ Applicant claims small entity status  
See 37 CFR 1.27

Deposit Account Number: 19-2555  
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:  
☒ Check ☐ Credit Card ☐ Other

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

| Large Entity<br>Fee Code/Fee | Small Entity<br>Fee Code/Fee | Fee Description  | Fee Due            |
|------------------------------|------------------------------|--|--------------------|
| 105/\$130                    | 205/\$65                     | Surcharge - late filing fee or oath  | <b>130</b>         |
| 127/\$50                     | 227/\$25                     | Surcharge-late provisional filing fee or cover sheet                       |                    |
| 147/\$2,520                  | 147/\$2,520                  | For filing a request for reexamination                                     |                    |
| 115/\$110                    | 215/\$55                     | Extension for response within first month†                                 |                    |
| 116/\$400                    | 216/\$200                    | Extension for response within second month†                                |                    |
| 117/\$920                    | 217/\$460                    | Extension for response within third month†                                 |                    |
| 118/\$1,440                  | 218/\$720                    | Extension for response within fourth month†                                |                    |
| 128/\$1,960                  | 228/\$980                    | Extension for response within fifth month†                                 |                    |
| 119/\$320                    | 219/\$160                    | Notice of Appeal   |                    |
| 141/\$1,280                  | 241/\$640                    | Petition to revive unintentionally abandoned application                   |                    |
| 142/\$1,280                  | 242/\$640                    | Utility Issue Fee (Or Reissue)   |                    |
| 143/\$460                    | 243/\$230                    | Design Issue Fee   |                    |
| 122/\$130                    | 122/\$130                    | Petitions to the Commissioner  |                    |
| 126/\$180                    | 126/\$180                    | Submission of Information Disclosure Statement                             |                    |
| 179/\$740                    | 279/\$370                    | Request for Continued Examination (RCE)                                    |                    |
| 581/\$40                     | 581/\$40                     | Recording each patent assignment per property (times number of properties) |                    |
| 146/\$740                    | 246/\$370                    | Filing a submission after final rejection (37 CFR 1.129(a))                |                    |
| 149/\$740                    | 249/\$370                    | For each additional invention to be examined (37 CFR 1.129(b))             |                    |
| Other fee (specify):         |                              |  |                    |
| Other fee (specify):         |                              |  |                    |
| <b>SUBTOTAL (3)</b>          |                              |  | <b>(\$ 130.00)</b> |

**FEE CALCULATION (fees effective 10/01/2001)**

**1. FILING FEE**

| Large Entity<br>Fee Code/Fee | Small Entity<br>Fee Code/Fee | Fee Description    | Fee Due            |
|------------------------------|------------------------------|--------------------|--------------------|
| 101/\$740                    | 201/\$370                    | Utility Filing     | <b>740</b>         |
| 106/\$330                    | 206/\$165                    | Design Filing      |                    |
| 108/\$740                    | 208/\$370                    | Reissue            |                    |
| 114/\$160                    | 214/\$80                     | Provisional Filing |                    |
| <b>SUBTOTAL (1)</b>          |                              |                    | <b>(\$ 740.00)</b> |

**2. CLAIMS**

| Large Entity<br>Fee Code/Fee | Small Entity<br>Fee Code/Fee | Fee Description   |
|------------------------------|------------------------------|---|
| 103/\$18                     | 203/\$9                      | Claims in excess of 20                                  |
| 102/\$84                     | 202/\$42                     | Independent claims in excess of 3                       |
| 104/\$280                    | 204/\$140                    | Multiple dependent claim                                |
| 109/\$84                     | 209/\$42                     | Reissue independent claims over original patent         |
| 110/\$18                     | 210/\$9                      | Reissue claims in excess of 20 and over original patent |

| (Col. 1)   |                        | (Col. 2) |                                 | (Col. 3) |         | Fee | Fee Due  |
|--|------------------------|----------|---------------------------------|----------|---------|-----|----------|
| For  | No. of Existing Claims | minus*   | Highest No. Previously Paid For | =        | Extra** | x   |          |
| TOTAL  | 52                     | minus*   | 20 or 0                         | =        | 32      | x   | 18 = 576 |
| INDEP  | 4                      | minus*   | 3 or 0                          | =        | 1       | x   | 84 = 84  |
| [ ] First presentation of multiple dependent claim |                        |          |                                 |          |         |     |          |

\* Subtract the greater number of Col. 2  
\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

**SUBTOTAL (2)** **(\$ 660.00)**

| SUBMITTED BY          |                   | Complete (if applicable) |               |
|-----------------------|-------------------|--------------------------|---------------|
| Typed or Printed Name | Amir H. Raubv gel | Reg. Number              | 37,070        |
| Signature             |                   | Date                     | March 6, 2002 |

18310/06404/DOCS/1250816.1

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/010,761         | 11/30/2001          | Yusuke Ishii          | 18310-06404            |

00758  
FENWICK & WEST LLP  
TWO PALO ALTO SQUARE  
PALO ALTO, CA 94306



CONFIRMATION NO. 2988  
FORMALITIES LETTER



\*OC000000007305629\*

Date Mailed: 01/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$660.
  - \$576 for 32 total claims over 20.
  - \$84 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1530.**

*A copy of this notice **MUST** be returned with the reply.*

*C.Y.*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/19/2002 SFELENE1 00000132 10010761  
01 FC:101 740.00 00  
02 FC:102 84.00 00  
03 FC:103 576.00 00  
04 FC:105 130.00 00